# DECEMBER 1994

# FM 100-23 PEACE OPERATIONS

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# HEADQUARTERS DEPARTMENT OF THE ARMY

### FM 100-23

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# **PEACE OPERATIONS**

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# Preface

This manual provides guidance for the full range of peace operations, to include support to diplomacy (peacemaking, peace building, and preventive diplomacy), peacekeeping (PK), and peace enforcement (PE). It addresses the environment of peace operations, related concepts, principles, and fundamentals, to include planning, operational considerations, training, and supporting functions. This manual is for commanders and staffs charged with the responsibility for peace operations to assist them in planning and conducting operations. It is also useful to nonmilitary agencies and foreign military units conducting related operations.

This manual supports soldiers and leaders who execute peace operations. It serves as the foundation for further development of US Army tactics, techniques, and procedures (TTPs) manuals and refinement of existing training support packages (TSPs), mission training plans (MTPs), training center and unit exercises, and service school curricula. It drives the examination of organizations and materiel developments applicable to peace operations.

Commanders will face ambiguous situations and uncertainty in peace operations. They are obligated to set clear objectives, define the mission, firmly guide operations, and measure progress and success. In order to assist commanders and their staffs, this manual explains the principles and tenets of peace operations and their applications; describes likely peace operations; and discusses command, control, coordination, and liaison requirements and other unique planning considerations. It also provides information concerning the UN and other organizations that may participate in peace operations.

This manual incorporates lessons learned from recent peace operations and existing doctrine to provide a framework for development in the conduct of peace operations. It builds on the discussions of peacekeeping and peace enforcement in FM 100-5<sup>1</sup> and applies to operations conducted by Army forces in joint, multinational, or interagency environments. It outlines selected considerations for linkages between Army units and certain nongovernmental and international organizations involved in such operations.

The basis of this manual is the US Army's expertise in conducting missions on land as a demonstration of US resolve and commitment to international peace, security, and stability. It provides guidelines for implementation of deterrence and compellance measures during peace operations in support of US National Security Strategy (NSS), National Military Strategy (NMS), and US policy.

The proponent of this manual is HQ TRADOC. Send comments and recommendations on DA Form 2028 directly to Commander, US Army Training and Doctrine Command, ATTN: ATDO-A, Fort Monroe, VA 23651-5000.

Unless this publication states otherwise, masculine nouns and pronouns do not refer exclusively to men.

<sup>&</sup>lt;sup>1.</sup> *Operations,* 14 June 1993.

## Introduction

It must be peace without victory....

Woodrow Wilson

We are now concerned with the peace of the entire world and the peace can only be maintained by the strong.

General George C. Marshall

#### Properly constituted, peace operations can be one useful tool to advance American national interests and pursue our national security objectives.

The Clinton Administration's Policy on Reforming Multinational Peace Operations May 1994

Today's world, with changing patterns of conflict and threats to US interests, presents new political and military challenges. It also presents extraordinary opportunities. The existence of instability and potential threats require a strong military capability sufficiently versatile to execute national military strategy across the full range of operations—to include *war* and *operations other than war* (*OOTW*).

Recognizing these realities, the 1993 version of the Army's keystone manual on operations, FM 100-5, devoted a chapter to OOTW. That chapter includes a broad discussion of PK and PE. This manual focuses specifically on peace operations, building on the foundation laid in FM 100-5.

This manual provides the basis for planning and executing peace operations. As doctrine, this manual guides the Army in how to think about peace operations and provides fundamentals for these operations.

#### THE HISTORY

*Peace operations* is a new and comprehensive term that covers a wide range of activities. Peace operations create and sustain the conditions necessary for peace to flourish. Peace operations comprise three types of activities: *support to diplomacy* (peacemaking, peace building, and preventive diplomacy), *peacekeeping*, and *peace enforcement*. Peace operations include traditional peacekeeping as well as peace enforcement activities such as protection of humanitarian assistance, establishment of order and stability, enforcement of sanctions, guarantee and denial of movement, establishment of protected zones, and forcible separation of belligerents.

Peace operations have become increasingly common in the post-Cold War strategic security environment. For example, in its first 40 years, the United Nations (UN) conducted only 13 such operations, all relatively small, with the exception of UN operations in the Congo during the 1960s. Since 1988, the number of peace operations has more than doubled, with each succeeding one being more complex than the last. The UN's peacekeeping operation in Cambodia in 1993, for example, included 22,000 military, police, and civilian personnel from 32 contributing nations. The operation cost the world community well over \$2 billion. The UN-sanctioned peace operation in Somalia (unified task force [UNITAF]), spearheaded by the US, involved more than 27,000 personnel from 23 contributing nations at a cost of \$750 million.

Peace operations are not new to the Army. Since 1948, US soldiers have served in many such operations—to include the United Nations Truce Supervisory Organization in the Middle East, Lebanon (1958), the Dominican Republic (1965), and the Sinai (since 1982)—as members of a multinational force and observers (MFO).

What is new is the number, pace, scope, and complexity of recent operations. For example, in 1993, six separate peace operations were conducted or authorized by the UN in the former Yugoslavia. They included missions to enforce sanctions against all belligerent parties, to deny aerial movement, to protect humanitarian assistance in Bosnia, to establish protected zones, and to establish a preventive deployment to the former Yugoslav Republic of Macedonia (FYROM). Commanders must understand the dynamics of peace operations and how actions taken in one operation may affect the success of another. In recent years, on any given day, thousands of soldiers were deployed to conduct or support peace operations in places such as Somalia, FYROM, the Sinai, and Croatia.

#### THE ENVIRONMENT

Peace operations often take place in environments less well-defined than in war. The identity of belligerents may be uncertain and the relationship between a specific operation and a campaign plan may be more difficult to define than would normally be the case in war. On the other hand, the relationship between specific peace operations and political objectives may be more sensitive, direct, and transparent.

US forces involved in peace operations may not encounter large, professional armies or even organized groups responding to a chain of command. Instead, they may have to deal with loosely organized groups of irregulars, terrorists, or other conflicting segments of a population as predominant forces. These elements will attempt to capitalize on perceptions of disenfranchisement or disaffection within the population. Criminal syndicates may also be involved.

The close link desired by such elements and the civilian population-at-large means the traditional elements of combat power, such as massive firepower, may not apply to peace operations. The nonviolent application of military capabilities, such as civil-military information and psychological operations (PSYOP) may be more important.

An overemphasis on firepower may be counterproductive. Because of the potential linkages between combatants and noncombatants, the political and cultural dimensions of the battlefield become more critical to the conflict. When force must be used, its purpose is to protect life or compel, not to destroy unnecessarily; the conflict, not the belligerent parties, is the enemy.

#### THE MEASURE OF SUCCESS

As with any mission, commanders at all levels must have a common understanding of the end state and the conditions that constitute success prior to initiating operations. In peace operations, *settlement*, not victory, is the ultimate measure of success, though settlement is

rarely achievable through military efforts alone. Peace operations are conducted to reach a resolution by conciliation among the competing parties, rather than termination by force. Peace operations are designed principally to create or sustain the conditions in which political and diplomatic activities may proceed. In peace operations, military action must complement diplomatic, economic, informational, and humanitarian efforts in pursuing the overarching political objective. The concept of traditional military victory or defeat is inappropriate in peace operations.

#### **CHAPTER 1**

## **Fundamentals of Peace Operations**

The prime focus of the Army is warfighting, yet the Army's frequent role in operations other than war is critical.

*Versatility is to the decathlete as agility is to the boxer.* FM 100-5

> Peacekeeping is not a job for soldiers, but only a soldier can do it. Former UN Secretary-General Dag Hammerskold

This chapter provides a doctrinal framework for peace operations. It is not a detailed template but an authoritative statement and guide for conducting peace operations. Adaptable to the diverse and varied nature of peace operations, this chapter describes the strategic context of such operations, to include unilateral and multinational operations. The chapter includes information on the variables of peace operations, the principles of peace operations, and the tenets of Army peace operations. It defines the different types of peace operations and establishes an operational context for each.

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#### THE STRATEGIC CONTEXT

Because peace operations are usually conducted in the full glare of worldwide media attention, the strategic context of a peace operation must be communicated and understood by all involved in the operation. Soldiers must understand that they can encounter situations where the decisions they make at the tactical level have immediate strategic and political implications. In addition to the overall strategic and political context of the operation, soldiers should be aware of the area's history, economy, culture, and any other significant factors. Failure to fully understand the mission and operational environment can quickly lead to incidents and misunderstandings that will reduce legitimacy and consent and result in actions that are inconsistent with the overall political objective.

Member nations of the United Nations (UN) conduct peace operations under the provisions of Chapters VI and VII of the *UN Charter*.<sup>1</sup> The

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US reserves the right to conduct operations unilaterally in conformance with appropriate international law. In such cases, the US would organize, equip, and employ its forces consistent with the unique aspects of these two chapters of the *UN Charter*. See Appendix A for a general description of UN organization and functions.

Normally, traditional peacekeeping (PK) involving high levels of consent and strict impartiality are operations authorized under the provisions of Chapter VI of the *UN Charter*, which discusses the peaceful settlement of disputes. Thus, PK operations are often referred to as *Chapter VI operations*.

Peace operations with low levels of consent and questionable impartiality are conducted

Chapter VI, "Pacific Settlement of Disputes" and Chapter VII, "Action With Respect to Threats to the Peace, Breaches of the Peace, and Acts of Aggression," *Charter of the United Nations*, 26 June 1945.